



Patent
Attorney's Docket No. 032997-028

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

THOMAS G. WOOLSTON

Application No.: New Application 09/779551

Filed: February 9, 2001

For: FACILITATING ELECTRONIC
COMMERCE THROUGH TWO-TIERED
ELECTRONIC TRANSACTIONAL
SYSTEM (as amended)

Group Art Unit: 2165

Examiner: Thompson, Jr. F.

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**INFORMATION DISCLOSURE STATEMENT
TRANSMITTAL LETTER**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

- ☒ No additional fee for submission of an IDS is required.
- ☐ The fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ Charge \$_____ to Deposit Account No. 02-4800 for the fee due.
- ☐ A check in the amount of \$_____ is enclosed for the fee due.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By: 

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Date: March 7, 2001



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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicant hereby submits the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

US Patents: 5,077,665, 5,584,025, 5,592,375, 5,625,823, 5,664,111, 5,664,115,
5,752,238, 5,794,207, 5,794,210, 5,838,906, 5,870,552, 5,724,521

Foreign Patents: 97/37315, 99/06913, 00/08578, 00/17797 and 00/66231

Articles: Text by: Bernstein, J., 533 words-"Computer Museum Holds an Internet Auction,"
Open Systems Today, May 9, 1994, No. 149, p. 86, Dialog File 647, Accession
No. 01022908*

Business & Entertainment Editors, "Save the Earth Foundation: Internet Online
Rock and Roll Art Auction Celebrating Earth Day is Declared Open to the World
for One Month," *Business Wire*, April 24, 1995, Dialog File 810, Accession No.
0480225

Business Editors/Computer Writers, "MUSEUM: First-ever 'Internet' 'Auction'
Produces Results for the Computer Museum," *Business Wire*, April 28, 1994,
Dialog File 810, Accession No. 0401754

Byline: Feature Editors, 642 words-"Computer Museum: The Computer Museum
Brings Auction Block to Cyberspace," *Business Wire*, 03/14/94*

Davies, S., "Treasury Auction to 'Go Live' with Computer Tenders," *Bond Buyer*, v. 304, no. 2914, 04/29/93*

"EIT Computer Museum: First-Ever Internet Auction Produces Results for the Computer Museum," 04/28/94*

[CORRECTED VERSION REPLACING PREVIOUS ANNOUNCEMENT DUE TO INCORRECT CONTACT INFORMATION] "Internet Online Rock And Roll Art Auction Celebrating Earth Day is Declared Open to the World for One Month," *Business Wire*, April 25, 1995, Dialog File 148, Accession No. 07809658

McCarthy, G., "The Electronic Auctioning of Servicing," *Mortgage Banking*, pp. 95-98, 12/94*

Race, T., "The Debate Over Electronic Bidding Reaches Washington," *The New York Times*, 10/6/91, p. 12, col. 1*

"Save the Earth Foundation: The First Ever Autographed Concert Poster Auction via the Internet," *Business Wire*, 1999; 04/17/95

Sharp, A., "From Army Knives to Gold Coins, Collectors Attend 'On-Line' Auctions," *Memphis Business Journal*, v.8 n.10 sl, p.10, July 28, 1986*

Smith, V., *et al.*, "Experimental Market Economics," *Scientific American*, September, 1991*

No Author, "Internet Providers Take Next Step Toward Electronic Commerce" Electronic Marketplace Report, v.8, n.24., Dec. 1994

"Go for the Green - Country Club Members Scrambling to buy PGA West, Mission Hills Courses at Auction," Los Angeles Times; Home Edition, colD 1, pg. 3; 20 June 1993

Feldman, Robert *et al.*, "Auctions: Theory and applications," International Monetary fund papers; v40n3, pp. 485-511; September 1993

Garcia, Beatrice, "Fast-moving pace is not for the shy or the undecided," Herald Real Estate; Miami Herald, Final Edition, pg. 4G, 05 May 1991

"Computer Museum Holds an Internet Auction," CMP 2000; 05/09/94

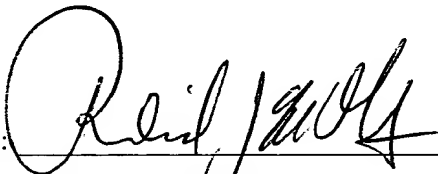
Individual announces revolutionary "Dutch Auction" system for ads on news Page Web service *Business Wire*, p7241051, July 24, 1995, Dialog File 148

The information is submitted before the mailing of a first Official Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. Continued examination is requested and the fee required under 37 C.F.R. § 1.17(e) accompanies the present submission.

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

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